

Guiding Bulletin issued by the Jordan Securities Commission Annex to the Agreements which shall be concluded with clients dealing in Foreign Stock Exchanges

Dear Investor:

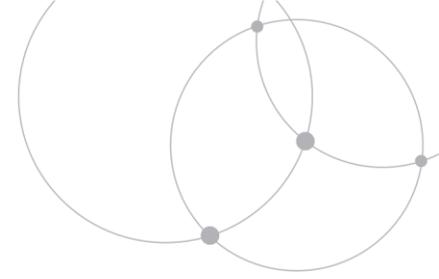
This **Guiding Bulletin** aims to brief you about dealing with Foreign Stock Exchanges and risks related thereto. Therefore, please make sure to read this **Guiding Bulletin** carefully before taking any step towards dealing with the Foreign Stock Exchanges and signing the same when concluding the Agreement where this **Guiding Bulletin** shall be considered as integral part of the Agreement. Treating it otherwise will lead to the penalty of nullity of the Agreement.

What is dealing in the Foreign Stock Exchanges?

Dealing in Foreign Stock Exchanges means purchasing and selling the securities of different types, such as foreign currencies, precious metals or any commodities or other financial instruments at any stock exchange located outside of the Hashemite Kingdom of Jordan`s borders.

Dear investor, **before you decide to deal in Foreign Stock Exchanges, you should know the following:**

- 1- Your dealing in the Foreign Stock Exchanges shall be governed by the Regulating the Dealing at Foreign Stock Exchanges Law No. (1) of 2017 and "**Instructions of Regulating the Dealing of the Financial Services Companies at the Foreign Stock Exchange**" in force, where they shall be read and understood very well.
- 2- You have to make sure that the company which you desire to deal with is licensed by the Jordan Securities Commission to practice this activity.
- 3- The relationship between you and the company shall be organized through an agreement previously prepared by the company, which should be read carefully and discussed in detail regarding all its provisions before signing the same because it shall be considered binding to you.
- 4- You have to make sure of the ownership registration mechanism, where the Instructions Regarding Dealing with Financial Services Companies in the Foreign Stock Exchanges have permitted registering the securities, the financial instruments, commodities or currencies through the accumulative account, through which the purchase and sale at the foreign stock exchange is done, which is opened in the name of the local broker with the foreign broker and for its clients; i.e. in case of dealing with the company through such a type of account, then the Foreign Stock Exchanges transactions shall not be registered in your name directly, which may leads to some risks such as impound or foreclosure on the account which has been registered on, which also may be applied on your funds kept at

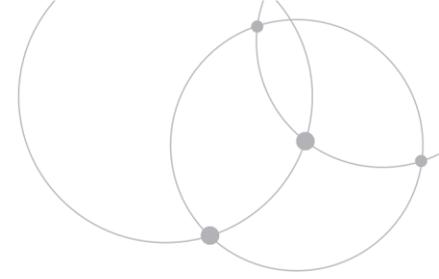


the foreign broker by the local broker which may be exposed to any impounds or foreclosures that may reach these funds or the financial instruments without holding the Jordan Securities Commission any liability.

- 5- Make sure that you have received a copy translated to Arabic of any agreement related to transactions belonging to you at the Foreign Stock Exchanges.
- 6- You have to know that there are additional risks related to the Foreign Stock Exchanges transactions subject-matter, for example but not limited to:
 - The foreign broker bankruptcy risks.
 - Exchange rate risks.
 - Interruption of communication means risks.
 - Political and legislative risks related to these markets.
- 7- Make sure of and verify all the transactions and orders regularly.

Dear Investor:

The issuance of the law that regulates dealing with Foreign Stock Exchanges has entrusted the Securities Commission to regulate dealing in the financial services companies with the Foreign Stock Exchange for the purpose of protecting the investors from the risks resulted from this dealing.



Acknowledgment and Undertaking

With reference to the agreement of dealing at Foreign Stock Exchanges signed by me, with Messrs. Company, I,, hereby confirm that I have read the instructions which regulating dealing of the financial services companies with the Foreign Stock Exchanges in addition to the Agreement provisions and the Guiding Bulletin issued by Jordan Securities Commission; moreover, I hereby undertake to comply with the regulations in force and any amendments that might be taking place by the JSC Board of Commissioners and arranging my situation accordingly.

Name:

Signature:

Date: